

10-01-01

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09/27/01



Please type a plus sign (+) inside this box → ☐

PTO/SB/05 (1/98)  
Approved for use through 09/30/2000. OMB 0651-0032  
Patent and Trademark Office U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

# UTILITY PATENT APPLICATION TRANSMITTAL

(Only for new nonprovisional applications under 37 CFR 1.53(b))

Attorney Docket No.	H0001705
First Inventor or Application Identifier	Byron G. Scott
Title	Thermal Switch Containing Temperature Sensor
Express Mail Label No.	EL654458878US

PTO  
09/27/01  
09/27/01

## APPLICATION ELEMENTS

See MPEP chapter 600 concerning utility patent application contents.

ADDRESS TO: Assistant Commissioner for Patents  
Box Patent Application  
Washington, DC 20231

1. ☒ \*Please charge the fees indicated on the attached fee transmittal and credit overpayments or charge any and all fees due throughout the pendency of this application to Deposit Account No. 01-1125.
2. ☒ Specification [Total Pages] 22  
(preferred arrangement set forth below)
  - Descriptive title of the Invention
  - Cross References to Related Applications
  - Statement Regarding Fed sponsored R & D
  - Reference to Microfiche Appendix
  - Background of the Invention
  - Brief Summary of the Invention
  - Brief Description of the Drawings (if filed)
  - Detailed Description
  - Claim(s)
  - Abstract of the Disclosure
3. ☒ Drawing(s) (35 U.S.C. 113) [Total Sheets] 9
4. Oath or Declaration [Total Pages] 2
  - a. ☒ Newly executed (original or copy)
  - b. ☐ Copy from a prior application (37 C.F.R. § 1.63(d))  
(for continuation/divisional with Box 17 completed)  
[Note Box 5 below]
  - i. ☐ DELETION OF INVENTOR(S)  
Signed statement attached deleting inventor(s) named in the prior application, see 37 C.F.R. §§ 1.63(d)(2) and 1.33(b).
5. ☐ Incorporation By Reference (useable if Box 4b is checked)  
The entire disclosure of the prior application, from which a copy of the oath or declaration is supplied under Box 4b, is considered to be part of the disclosure of the accompanying application and is hereby incorporated by reference therein.

6. ☐ Microfiche Computer Program (Appendix)
7. Nucleotide and/or Amino Acid Sequence Submission (if applicable, all necessary)
  - a. ☐ Computer Readable Copy
  - b. ☐ Paper Copy (identical to computer copy)
  - c. ☐ Statement verifying identity of above copies

## ACCOMPANYING APPLICATION PARTS

8. ☒ Assignment Papers (cover sheet & document(s))
  9. ☐ 37 C.F.R. § 3.73(b) Statement (when there is an assignee) ☐ Power of Attorney
  10. ☐ English Translation Document (if applicable)
  11. ☒ Information Disclosure Statement (IDS)/PTO-1449 ☒ Copies of IDS Citations
  12. ☐ Preliminary Amendment
  13. ☒ Return Receipt Postcard (MPEP 503)  
(Should be specifically itemized)
  14. ☐ \* Small Entity Statement(s) ☐ Statement filed in prior application, Status still proper and desired  
(PTO/SB/09-12)
  15. ☐ Certified Copy of Priority Document(s)  
(if foreign priority is claimed)
  16. ☐ Other: .....
- \* A new statement is required to be entitled to pay small entity fees, except where one has been filed in a prior application and is being relied upon

17. If a CONTINUING APPLICATION, check appropriate box, and supply the requisite information below and in a preliminary amendment:  
☐ Continuation ☐ Divisional ☐ Continuation-in-part (CIP) of prior application No: \_\_\_\_\_  
Prior application information: Examiner Unknown Examiner Group / Art Unit: Unassigned

## 18. CORRESPONDENCE ADDRESS

☒ Customer Number or Bar Code Label 000128 or ☐ Correspondence address below  
(Insert Customer No. or Attach bar code label here)

Name	Eric G. Halsne				
Address	Honeywell International Inc. 101 Columbia Road, P. O. Box 2245				
City	Morristown	State	NJ	Zip Code	07962
Country	USA	Telephone	(425) 376-2107	Fax	(425) 885-8797

Name (Print/Type)	Eric G. Halsne	Registration No. (Attorney/Agent)	46,753
Signature	<i>Eric G. Halsne</i>	Date	Sept. 27, 2001

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Box Patent Application, Washington, DC 20231.

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**FEE TRANSMITTAL**

Patent fees are subject to annual revision on October 1.

These are the fees effective October 1, 1997.

Small Entity payments must be supported by a small entity statement, otherwise large entity fees must be paid. See Forms PTO/SB/09-12.

See 37 C.F.R. §§ 1.27 and 1.28.

TOTAL AMOUNT OF PAYMENT (\$ ) 1,440.00

**Complete if Known**

Application Number Unknown

Filing Date September 27, 2001

First Named Inventor Byron G Scott

Examiner Name N/A

Group / Art Unit N/A

Attorney Docket No. H0001705

**METHOD OF PAYMENT (check one)**

- 1.
- ☒
- The Commissioner is hereby authorized to charge indicated fees and credit any over payments to:

Deposit  
Account  
Number  
Deposit  
Account  
Name

01-1125

Honeywell International Inc.

- ☒
- Charge Any Additional
- 
- Fee Required Under
- 
- 37 C.F.R. §§ 1.16 and 1.17
- ☐
- Charge the Issue Fee Set in
- 
- 37 C.F.R. § 1.18 at the Mailing
- 
- of the Notice of Allowance

- 2.
- ☐
- Payment Enclosed:

☐ Check ☐ Money  
Order ☐ Other**FEE CALCULATION****1. BASIC FILING FEE**

Large Entity Fee Code (\$)	Small Entity Fee Code (\$)	Fee Description	Fee Paid
101 710	201 355	Utility filing fee	710.00
106 320	206 160	Design filing fee	
107 490	207 245	Plant filing fee	
108 710	208 355	Reissue filing fee	
114 150	214 75	Provisional filing fee	
<b>SUBTOTAL (1)</b>			<b>(\$ ) 710.00</b>

**2. EXTRA CLAIM FEES**

Total Claims	Extra Claims	Fee from below	Fee Paid
45	-20** = 25	X 18	= 450
Independent Claims	6	-3** = 3	X 80 = 240
Multiple Dependent			

\*\*or number previously paid, if greater; For Reissues, see below

Large Entity Fee Code (\$)	Small Entity Fee Code (\$)	Fee Description
103 18	203 9	Claims in excess of 20
102 80	202 40	Independent claims in excess of 3
104 260	204 130	Multiple dependent claim, if not paid
109 78	209 39	** Reissue independent claims over original patent
110 18	210 9	** Reissue claims in excess of 20 and over original patent

**SUBTOTAL (2)** (\$ ) 690.00**FEE CALCULATION (continued)****3. ADDITIONAL FEES**

Large Entity Fee Code (\$)	Small Entity Fee Code (\$)	Fee Description	Fee Paid
105 130	205 65	Surcharge - late filing fee or oath	
127 50	227 25	Surcharge - late provisional filing fee or cover sheet.	
139 130	139 130	Non-English specification	
147 2,520	147 2,520	For filing a request for reexamination	
112 920*	112 920*	Requesting publication of SIR prior to Examiner action	
113 1,840*	113 1,840*	Requesting publication of SIR after Examiner action	
115 110	215 55	Extension for reply within first month	
116 390	216 195	Extension for reply within second month	
117 890	217 445	Extension for reply within third month	
118 1,390	218 695	Extension for reply within fourth month	
128 1,890	228 945	Extension for reply within fifth month	
119 300	219 150	Notice of Appeal	
120 300	220 150	Filing a brief in support of an appeal	
121 260	221 130	Request for oral hearing	
138 1,510	138 1,510	Petition to institute a public use proceeding	
140 110	240 55	Petition to revive - unavoidable	
141 1,210	241 605	Petition to revive - unintentional	
142 1,210	242 605	Utility issue fee (or reissue)	
143 430	243 215	Design issue fee	
144 580	244 290	Plant issue fee	
122 130	122 130	Petitions to the Commissioner	
123 50	123 50	Petitions related to provisional applications	
126 240	126 240	Submission of Information Disclosure Stmt	
581 40	581 40	Recording each patent assignment per property (times number of properties)	40
146 690	246 345	Filing a submission after final rejection (37 CFR 1.129(a))	
149 690	249 345	For each additional invention to be examined (37 CFR 1.129(b))	

Other fee (specify) \_\_\_\_\_

Other fee (specify) \_\_\_\_\_

\* Reduced by Basic Filing Fee Paid

**SUBTOTAL (3)** (\$ ) 40.00**SUBMITTED BY**Typed or  
Printed Name Eric G. Halsne

Signature

Eric G. Halsne

Date

09/27/01

**Complete (if applicable)**

Reg. Number

46,753

Deposit Account  
User ID

01-1125

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## PATENT APPLICATION

### “ THERMAL SWITCH CONTAINING TEMPERATURE SENSOR ”

Inventor(s):

Byron G Scott  
24706 Jim Creek Road, Arlington, WA 98223  
Snohomish County, Citizen of US

George D Davis  
806 150th Place SE, Bellevue, WA 98007  
King County, Citizen of US

Assignee: Honeywell International, Inc.  
101 Columbia Road  
P.O. Box AB2  
Morristown, New Jersey 07962

Entity: Large

09/06/98 10:00 AM